

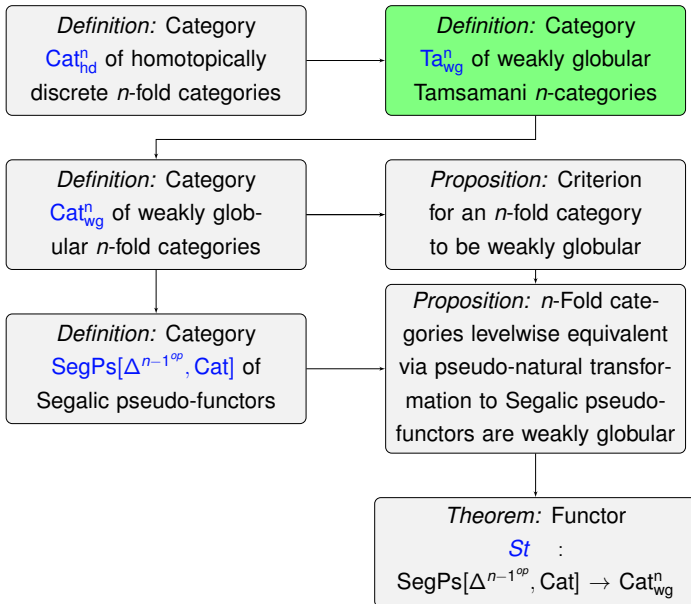
# Lecture 3: The three Segal-type models and Segalic pseudo-functors

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# The three Segal-type models and Segalic pseudo-functors



## Example: weakly globular Tamsamani 3-categories

### Definition

A weakly globular Tamsamani 3-category  $X \in \mathbf{Ta}_{\text{wg}}^3$  is given by  $X \in [\Delta^{op}, \mathbf{Ta}_{\text{wg}}^2]$  such that

- $X_0 \in \mathbf{Cat}_{\text{hd}}^2$ .
- For each  $k \geq 2$  the induced Segal maps  $X_k \rightarrow X_1 \times_{X_0^d} \cdots \times_{X_0^d} X_1$  are 2-equivalences in  $\mathbf{Ta}_{\text{wg}}^2$ .

Note that by the closure properties of  $\mathbf{Ta}_{\text{wg}}^2$ ,  $X_1 \times_{X_0^d} \cdots \times_{X_0^d} X_1 \in \mathbf{Ta}_{\text{wg}}^2$

## Example: weakly globular Tamsamani 3-categories, cont.

- By the definition, for all  $k \geq 0$  and  $p^{(2)}X \in \text{Ta}_{\text{wg}}^2$ .
- By the closure properties of 2-equivalences in  $\text{Ta}_{\text{wg}}^2$ , the closure properties of  $\text{Ta}_{\text{wg}}^3$  hold.

## Example: 3-equivalences in $Ta_{wg}$

### Definition

We define a map  $f : X \rightarrow Y$  in  $Ta_{wg}^3$  to be a **3-equivalence** if

- For all  $a, b \in X_0^d$   $f(a, b) : X(a, b) \rightarrow Y(fa, fb)$  is a 2-equivalence in  $Ta_{wg}^2$ .
- $p^{(2)}f$  is a 2-equivalence in  $Ta_{wg}^2$ .

Note that the closure properties hold for 3-equivalences.

## Example: Tamsamani 3-categories

### Definition

A Tamsamani 3-category  $X \in \mathbf{Ta}^3$  is given by  $X \in \mathbf{Ta}_{\text{wg}}^3$  such that  $X_0$  and  $X_{k0}$  are discrete for all  $k \geq 0$ .

## Example: Tamsamani 3-categories, cont.

A Tamsamani 3-category  $X \in \mathbf{Ta}^3$  consists of  $X \in [\Delta^{op}, \mathbf{Ta}^2]$  such that

- $X_0$  is discrete.
- For each  $k \geq 2$  the Segal maps  $X_k \rightarrow X_1 \times_{X_0} \cdots \times_{X_0} X_1$  are 2-equivalences in  $\mathbf{Ta}^2$ .

## Example: weakly globular 3-fold categories

### Definition

A weakly globular 3-fold category  $X \in \text{Cat}_{\text{wg}}^3$  is given by  $X \in \text{Ta}_{\text{wg}}^3$  such that  $X \in \text{Cat}^3$  and  $p^{(2)}X \in \text{Cat}_{\text{wg}}^2$ .



## Example: weakly globular 3-fold categories, cont.

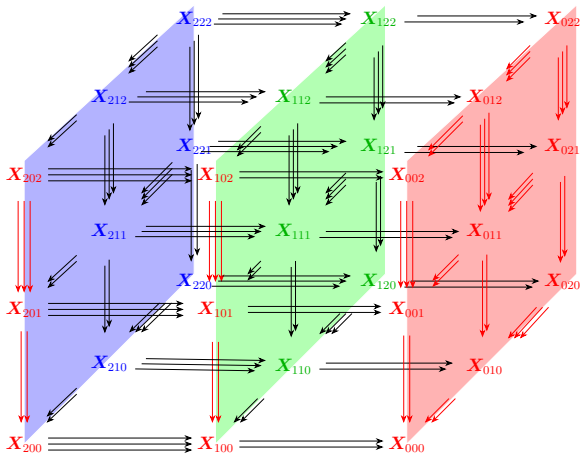
A weakly globular 3-fold category  $X \in \text{Cat}_{\text{wg}}^3$  consists of  $X \in [\Delta^{op}, \text{Cat}_{\text{wg}}^2]$  such that

- $X_0 \in \text{Cat}_{\text{hd}}^2$ .
- For each  $k \geq 2$ ,  $X_k \cong X_1 \times_{X_0} \cdots \times_{X_0} X_1$ .
- For each  $k \geq 2$  the induced Segal maps  $X_k \rightarrow X_1 \times_{X_0^d} \cdots \times_{X_0^d} X_1$  are 2-equivalences in  $\text{Cat}_{\text{wg}}^2$ .
- For each  $X \in \text{Cat}_{\text{wg}}^3$ ,  $p^{(2)}X \in \text{Cat}_{\text{wg}}^2$ .

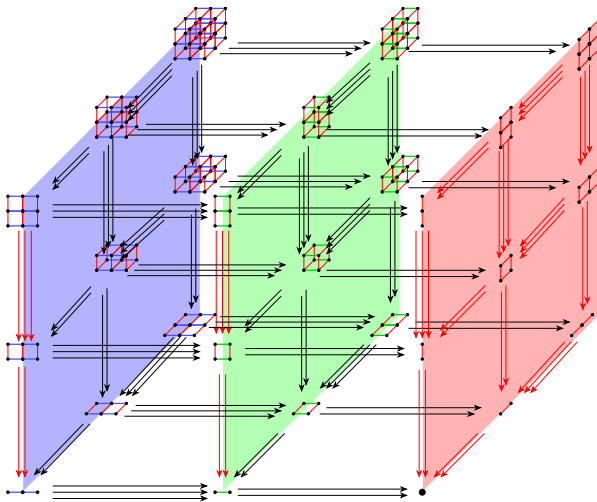
# Corner of the multinerve of a weakly globular 3-fold category

In the following picture, for all  $i, j, k \in \Delta^{op}$

$$X_{2jk} \cong X_{1jk} \times_{X_{0jk}} X_{1jk}, \quad X_{i2k} \cong X_{i1k} \times_{X_{i0k}} X_{i1k}, \quad X_{ij2} \cong X_{ij1} \times_{X_{ij0}} X_{ij1}.$$



# Geometric picture of the corner of the multinerve of a weakly globular 3-fold category



## The idea of the category $\mathbf{Ta}_{\text{wg}}^n$

- Inductive multi-simplicial definition.
- Closure properties.
- Weak globularity condition.
- Functor  $p^{(n-1)} : \mathbf{Ta}_{\text{wg}}^n \rightarrow \mathbf{Ta}_{\text{wg}}^{n-1}$ .
- $n$ -Equivalences.
- $(n - 1)$ -equivalences of the induced Segal maps

$$\hat{\mu}_k : X_k \rightarrow X_1 \times_{X_0^d} \cdots \times_{X_0^d} X_1 .$$

## A remark about closure properties

- The closure properties of objects of  $\text{Ta}_{\text{wg}}^{n-1}$  ensure that  $X_1 \times_{X_0^d} \cdots \times_{X_0^d} X_1 \in \text{Ta}_{\text{wg}}^{n-1}$  and  $X(a, b) \in \text{Ta}_{\text{wg}}^{n-1}$ .  
This is needed to formulate the induced Segal maps condition and to define  $n$ -equivalences.
- The closure properties of  $(n-1)$ -equivalences in  $\text{Ta}_{\text{wg}}^{n-1}$  ensure that the closure properties of objects and  $n$ -equivalences hold at step  $n$ , completing the inductive step.

## Closure properties

- Closure properties of  $\text{Ta}_{\text{wg}}^n \subset [\Delta^{n^{\text{op}}}, \text{Set}]$ .

- C1** Repletion under isomorphisms; that is, if  $A \cong B$  in  $[\Delta^{n^{\text{op}}}, \text{Set}]$  and  $A \in \text{Ta}_{\text{wg}}^n$  then  $B \in \text{Ta}_{\text{wg}}^n$ .
- C2** Closure under finite products.
- C3** Closure under small coproducts.
- C4** If the small coproduct  $\coprod_i A_i$  is in  $\text{Ta}_{\text{wg}}^n$ , then each  $A_i \in \text{Ta}_{\text{wg}}^n$ .

## Closure properties, cont.

- $\mathbf{Ta}_{\mathbf{wg}}^n \subset [\Delta^{n^{op}}, \mathbf{Set}]$ ,  $\mathcal{W}_n$  class of morphisms in  $\mathbf{Ta}_{\mathbf{wg}}^n$ .

**E1**  $\mathcal{W}_n$  is closed under composition with isomorphisms.

**E2**  $\mathcal{W}_n$  is closed under finite products.

**E3**  $\mathcal{W}_n$  is closed under small colimits.

**E4** If the small colimit  $\coprod_i f_i$  of maps in  $\mathbf{Ta}_{\mathbf{wg}}^n$  is in  $\mathcal{W}_n$ , then each  $f_i \in \mathcal{W}_n$ .

# The formal definition of $Ta_{wg}^n$ : inductive hypothesis

- $n = 1$   $Ta_{wg}^1 = \text{Cat}$ , 1-equivalences = equiv. of categories.
- Suppose, inductively, that we defined for each  $1 \leq k \leq n - 1$  a subcategory

$$Ta_{wg}^k \subset [\Delta^{k-1^{op}}, \text{Cat}] \subset [\Delta^{k^{op}}, \text{Set}]$$

containing the terminal object and a class  $\mathcal{W}_k$  of maps in  $Ta_{wg}^k$  (called *k-equivalences*) such that

- I1)  $Ta_{wg}^k$  satisfies the closure properties **C1-C4**.
- I2) The functor  $p^{(k-1)} : [\Delta^{k^{op}}, \text{Set}] \rightarrow [\Delta^{k-1^{op}}, \text{Set}]$  restricts to a functor  $p^{(k-1)} : Ta_{wg}^k \rightarrow Ta_{wg}^{k-1}$  sending *k-equivalences* to *(k - 1)-equivalences*.
- I3)  $\mathcal{W}_k$  satisfies the closure properties **E1-E4**.



## The formal definition of $\text{Ta}_{\text{wg}}^n$ : step $n$

- An object  $X$  of  $[\Delta^{n-1\text{op}}, \text{Cat}] \subset [\Delta^{n\text{op}}, \text{Set}]$  is a **weakly globular Tamsamani  $n$ -category** if:

- *Weak globularity condition:*  $X_0 \in \text{Cat}_{\text{hd}}^{n-1}$ .
- $X_k \in \text{Ta}_{\text{wg}}^{n-1}$  for all  $k > 0$ .
- *Induced Segal maps condition.* For all  $s \geq 2$  the induced Segal maps

$$X_s \rightarrow X_1 \times_{X_0^d} \cdots \times_{X_0^d} X_1$$

(induced by the map  $\gamma : X_0 \rightarrow X_0^d$ ) are  $(n-1)$ -equivalences.

## The formal definition of $Ta_{\text{wg}}^n$ : defining $n$ -equivalences

- Given  $a, b \in X_0^d$ , denote by  $X(a, b)$  the fiber at  $(a, b)$  of the map

$$X_1 \xrightarrow{(\partial_0, \partial_1)} X_0 \times X_0 \xrightarrow{\gamma \times \gamma} X_0^d \times X_0^d.$$

- Since, by inductive hypothesis,  $Ta_{\text{wg}}^{n-1}$  satisfies **C1-C4**, then  $X(a, b) \in Ta_{\text{wg}}^{n-1}$ .

Think of  $X(a, b) \in Ta_{\text{wg}}^{n-1}$  as a **hom- $(n - 1)$ -category**.

## The formal definition of $\mathbf{Ta}_{\mathbf{wg}}^n$ : defining $n$ -equivalences, cont.

- We define a map  $f : X \rightarrow Y$  in  $\mathbf{Ta}_{\mathbf{wg}}^n$  to be an  $n$ -equivalence if
  - For all  $a, b \in X_0^d$   $f(a, b) : X(a, b) \rightarrow Y(fa, fb)$  is an  $(n - 1)$ -equivalence.
  - $p^{(n-1)}f$  is an  $(n - 1)$ -equivalence.

The above is a higher dimensional generalization of a functor which is fully faithful and essentially surjective on objects.

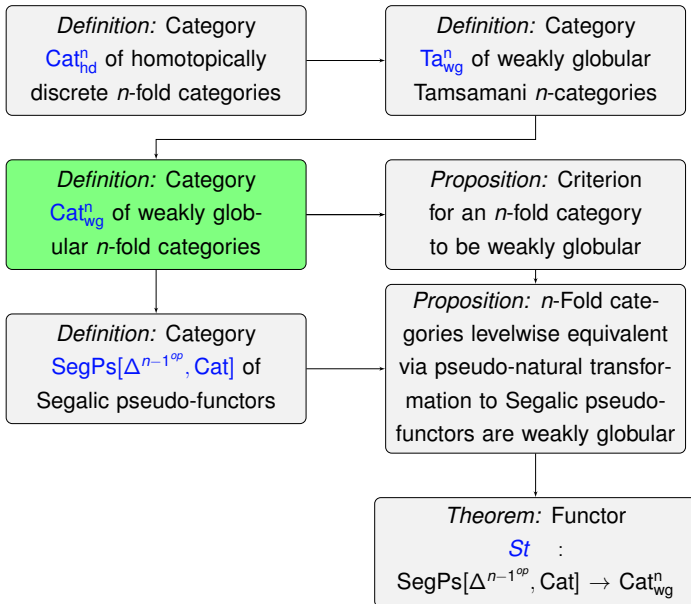
## The formal definition of $Ta_{\text{wg}}^n$ : completing the inductive step

- It is easily shown that  $p^{(n-1)}X \in Ta_{\text{wg}}^{n-1}$  for  $X \in Ta_{\text{wg}}^n$ .
- The fact that  $p^{(n-1)}$  sends  $n$ -equivalences to  $(n-1)$ -equivalences is part of the definition of  $n$ -equivalences in  $Ta_{\text{wg}}^n$ , so property I2) holds at step  $n$ .
- Properties I1) and I3) at step  $n$  are checked using the closure properties **E1-E4** of  $\mathcal{W}_{n-1}$ .

## Definition

- For each  $n \geq 0$  the category  $\mathbf{Ta}^n$  of **Tamsamani  $n$ -categories** is the full subcategory of  $\mathbf{Ta}_{\text{wg}}^n$  whose objects  $X$  are such that  $X_0$  and  $X_{k_1 \dots k_r 0}$  are discrete for all  $(k_1 \dots k_r) \in \Delta^{r \text{op}}$ ,  $1 \leq r \leq n - 2$ .
- A morphism in  $\mathbf{Ta}^n$  is an  **$n$ -equivalence** if it is an  $n$ -equivalence as a morphism in  $\mathbf{Ta}_{\text{wg}}^n$ .

# The three Segal-type models and Segalic pseudo-functors



# The idea of weakly globular $n$ -fold category

- We have  $\text{Cat}_{\text{wg}}^n \subset \text{Cat}^n$  and  $\text{Cat}_{\text{wg}}^n \subset \text{Ta}_{\text{wg}}^n$ .
- We require the functor  $p^{(n-1)} : \text{Ta}_{\text{wg}}^n \rightarrow \text{Ta}_{\text{wg}}^{n-1}$  to restrict to

$$p^{(n-1)} : \text{Cat}_{\text{wg}}^n \rightarrow \text{Cat}_{\text{wg}}^{n-1} .$$

# The formal definition of the category $\text{Cat}_{\text{wg}}^n$

## Definition

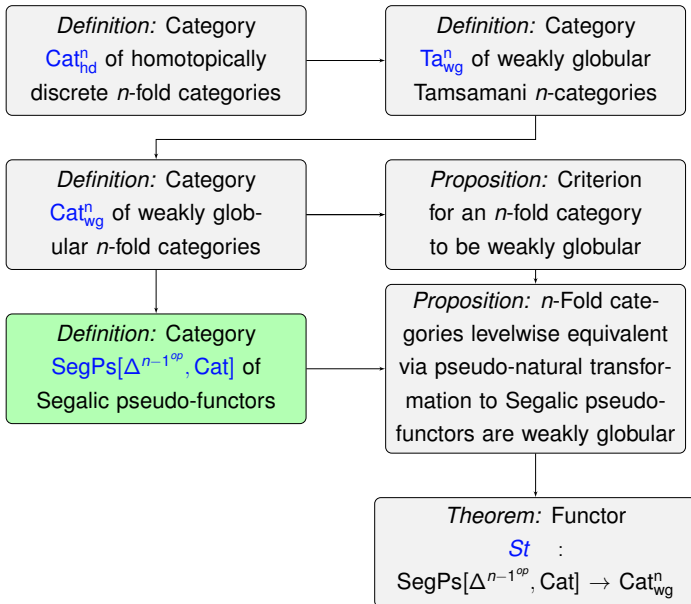
We say that an  $n$ -fold category  $X \in \text{Cat}^n$  is **truncatable** if for all  $0 \leq r < n$ ,  $p^{(r)}X \in [\Delta^{r^{op}}, \text{Set}]$  is an  $r$ -fold category. We denote by  $\text{Cat}_t^n$  the full subcategory of  $\text{Cat}^n$  consisting of truncatable  $n$ -fold categories, with  $\text{Cat}_t^0 = \text{Set}$ .

## Definition

We say that  $X \in [\Delta^{n^{op}}, \text{Set}]$  is a **weakly globular  $n$ -fold category** if  $X \in \text{Cat}_t^n$  and  $X \in \text{Ta}_{\text{wg}}^n$ . We denote by  $\text{Cat}_{\text{wg}}^n$  the category of weakly globular  $n$ -fold categories.



# The three Segal-type models and Segalic pseudo-functors



- Recall the category  $\text{Ps}[\mathcal{C}, \text{Cat}]$  of pseudo-functors and pseudo-natural transformations.

### Theorem (Power; Lack...)

There is a *strictification functor*

$$St : \text{Ps}[\mathcal{C}, \text{Cat}] \rightarrow [\mathcal{C}, \text{Cat}]$$

*left adjoint to the inclusion and such that the components of the unit are equivalences in  $\text{Ps}[\mathcal{C}, \text{Cat}]$ .*

## Using pseudo-functors to rigidify $\mathbf{Ta}_{\text{wg}}^n$ .

We identify a subcategory

$$\text{SegPs}[\Delta^{n-1^{op}}, \text{Cat}] \subset \text{Ps}[\Delta^{n-1^{op}}, \text{Cat}]$$

of **Segalic pseudo-functors** such that  $St$  restricts to

$$\text{SegPs}[\Delta^{n-1^{op}}, \text{Cat}] \xrightarrow{St} \text{Cat}_{\text{wg}}^n \subset [\Delta^{n-1^{op}}, \text{Cat}].$$

We will then build

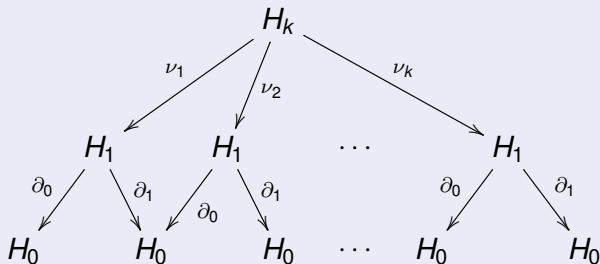
$$Q_n : \mathbf{Ta}_{\text{wg}}^n \rightarrow \text{SegPs}[\Delta^{n-1^{op}}, \text{Cat}] \xrightarrow{St} \text{Cat}_{\text{wg}}^n.$$

## The idea of Segalic pseudo-functor

- $X \in \text{Ps}[\Delta^{n^{op}}, \text{Cat}]$  consists of categories  $X_{\underline{k}}$  for each object  $\underline{k}$  of  $\Delta^{n^{op}}$  with multi-simplicial face and degeneracy maps satisfying the multi-simplicial identities not as equalities but as isomorphisms, and these isomorphisms satisfy coherence axioms.
- Guided by this intuition, we generalize to certain pseudo-functors the multi-simplicial notion of Segal map.
- The additional conditions for a pseudo-functor to be Segalic use these Segal maps as well the the functor  $p^{(n)} : \text{Ps}[\Delta^{n^{op}}, \text{Cat}] \rightarrow [\Delta^{n^{op}}, \text{Set}]$  obtained by applying  $p$  levelwise.

## Segal maps for pseudo-functors: case $n = 1$

Let  $H \in \text{Ps}[\Delta^{op}, \text{Cat}]$  be such that  $H_0$  is discrete. The following diagram in  $\text{Cat}$  commutes



Hence there is a unique **Segal map** for all  $k \geq 2$

$$H_k \rightarrow H_1 \times_{H_0} \cdots \times_{H_0}^k H_1 .$$

## Definition

Define  $H \in \text{SegPs}[\Delta^{op}, \text{Cat}] \subset \text{Ps}[\Delta^{op}, \text{Cat}]$  if

- i)  $H_0$  is discrete.
- ii) All Segal maps are isomorphisms.

Note: Since  $p$  commutes with pullbacks over discrete objects, there is a functor

$$\begin{aligned} p^{(1)} : \text{SegPs}[\Delta^{op}, \text{Cat}] &\rightarrow \text{Cat} , \\ (p^{(1)}X)_k &= pX_k . \end{aligned}$$

## Segal maps for pseudo-functors.

- Notation:

$$\underline{k} = (k_1, \dots, k_n) \in \Delta^{nop}, 1 \leq i \leq n$$

$$\underline{k}(1, i) = (k_1, \dots, k_{i-1}, 1, k_{i+1}, \dots, k_n)$$

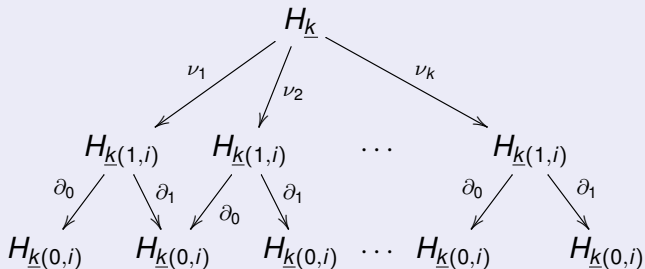
$$\underline{k}(0, i) = (k_1, \dots, k_{i-1}, 0, k_{i+1}, \dots, k_n)$$

- Let  $H \in \text{Ps}[\Delta^{nop}, \text{Cat}]$  be such that  $H_{\underline{k}(0,i)}$  is discrete for all  $\underline{k} \in \Delta^{nop}$  and all  $1 \leq i \leq n$ .

For pseudo-functors  $H$  satisfying this condition we can define **Segal maps** as follows.

## Segal maps for pseudo-functors, cont.

The following diagram in  $\text{Cat}$  commutes



Hence there is a unique **Segal map** for all  $k_i \geq 0$

$$H_{\underline{k}} \rightarrow H_{\underline{k}}(1,i) \times H_{\underline{k}}(0,i) \cdot \dots \cdot H_{\underline{k}}(0,i) \times H_{\underline{k}}(1,i) \cdot$$



# The functor $p^{(n)}$

## Definition

We denote by

$$p^{(n)} : \text{Ps}[\Delta^{n^{op}}, \text{Cat}] \rightarrow [\Delta^{n^{op}}, \text{Set}]$$

the functor  $(p^{(n)}X)_{\underline{k}} = pX_{\underline{k}}$  for  $X \in \text{Ps}[\Delta^{n^{op}}, \text{Cat}]$  and  $\underline{k} \in \Delta^{n^{op}}$ .

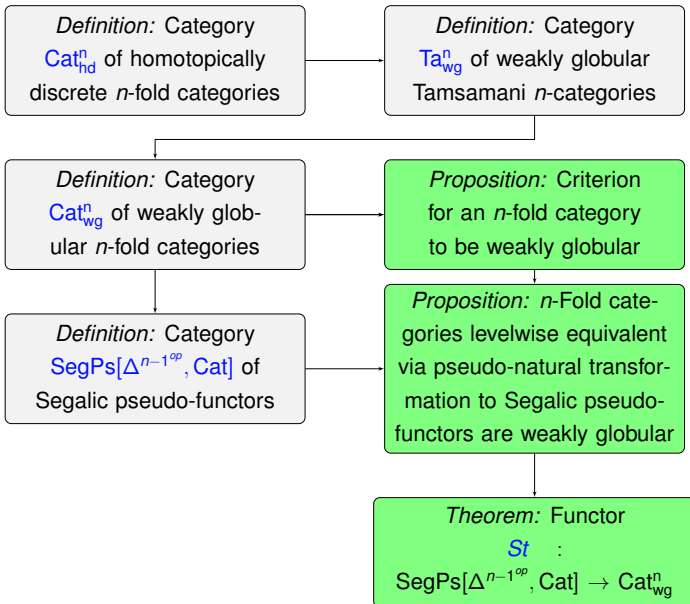
## Definition

Define  $H \in \text{SegPs}[\Delta^{n^{op}}, \text{Cat}] \subset \text{Ps}[\Delta^{n^{op}}, \text{Cat}]$  if

- i)  $H_{\underline{k}(0,i)}$  is discrete for all  $\underline{k} \in \Delta^{n^{op}}$  and  $1 \leq i \leq n$ .
- ii) All Segal maps are isomorphisms.
- iii) The functor  $\rho^{(n)} : \text{Ps}[\Delta^{n^{op}}, \text{Cat}] \rightarrow [\Delta^{n^{op}}, \text{Set}]$  restricts to a functor

$$\rho^{(n)} : \text{SegPs}[\Delta^{n^{op}}, \text{Cat}] \rightarrow \text{Cat}_{\text{wg}}^n .$$

# The three Segal-type models and Segalic pseudo-functors



# From Segalic pseudo-functors to weakly globular $n$ -fold categories

## Theorem

*The strictification functor*

$$St : \text{Ps}[\Delta^{n-1^{op}}, \text{Cat}] \rightarrow [\Delta^{n-1^{op}}, \text{Cat}]$$

*restricts to a functor*

$$St : \text{SegPs}[\Delta^{n-1^{op}}, \text{Cat}] \xrightarrow{St} \text{Cat}_{\text{wg}}^n$$

## Strategy of proof of the theorem

- a) Sufficient criterion for an  $n$ -fold category to be weakly globular.
- b) Let  $\phi : L \rightarrow H$  be a pseudo-natural transformation with  $H \in \text{SegPs}[\Delta^{n-1^{op}}, \text{Cat}]$  and  $L \in \text{Cat}^n$ , such that  $\phi_{\underline{k}}$  is an equivalence of categories for all  $\underline{k} \in \Delta^{n-1^{op}}$ . Then  $L$  satisfies the hypotheses of criterion a), so that  $L \in \text{Cat}_{\text{wg}}^n$ .
- c) We show that given  $X \in \text{SegPs}[\Delta^{n-1^{op}}, \text{Cat}]$ , then  $St X \in \text{Cat}^n$  and there is a pseudo-natural transformation  $St X \rightarrow X$  satisfying the hypothesis of b). Therefore  $St X \in \text{Cat}_{\text{wg}}^n$ .

## Proposition

Let  $X \in \text{Cat}^2$  be such that

- $X_0 \in \text{Cat}_{\text{hd}}$ .
- $p^{(1)}X \in \text{Cat}$ .

Then  $X \in \text{Cat}_{\text{wg}}^2$ .

## Proof of the criterion a), case $n = 2$

- Let  $X \in \text{Cat}^2$  be such that  $X_0 \in \text{Cat}_{\text{hd}}$  and  $p^{(1)}X \in \text{Cat}$ .
- Since  $p$  commutes with pullbacks over discrete objects,

$$\hat{\mu}_2 : X_1 \times_{X_0} X_1 \rightarrow X_1 \times_{X_0^d} X_1$$

is essentially surjective on objects, as  $p\hat{\mu}_2$  is an isomorphism.

- This map is also fully faithful since, for each  $(a, b), (c, d) \in X_{10} \times_{X_{00}} X_{10}$ , we have

$$\begin{aligned} (X_1 \times_{X_0} X_1)((a, b), (c, d)) &\cong X_1(a, c) \times_{X_0(\partial_0 a, \partial_0 c)} X_1(b, d) \cong \\ &\cong X_1(a, c) \times X_1(b, d) \cong (X_1 \times_{X_0^d} X_1)(\hat{\mu}_2(a, b), \hat{\mu}_2(c, d)). \end{aligned}$$

- Thus  $\hat{\mu}_2$  is an equivalence of categories. Similarly for  $\hat{\mu}_k$ ,  $k \geq 2$ , showing that  $X \in \text{Cat}_{\text{wg}}^2$ .

## Proof of property b), case $n = 2$

- Let  $\phi : L \rightarrow H$  be a pseudo-natural transformation with  $H \in \text{SegPs}[\Delta^{op}, \text{Cat}]$  and  $L \in \text{Cat}^2$ , such that  $\phi_k$  is an equivalence of categories for all  $k \in \Delta^{op}$ .
- Since  $L_0 \simeq H_0$  and  $H_0$  is discrete,  $L_0 \in \text{Cat}_{\text{hd}}$ .
- Since  $\phi$  is pseudo-natural,  $p^{(1)}\phi$  is natural, so there is a commuting diagram

$$\begin{array}{ccc} pL_k & \longrightarrow & pL_1 \times_{pL_0} \cdots \times_{pL_0} pL_1 \\ \parallel & & \parallel \\ pH_k & \xrightarrow{\cong} & pH_1 \times_{pH_0} \cdots \times_{pH_0} pH_1 \end{array}$$

Thus  $p^{(1)}L \in \text{Cat}$ . By the previous criterion, we conclude that  $L \in \text{Cat}_{\text{wg}}^2$ .



## Strategy of proof of the theorem, again

- b) Let  $\phi : L \rightarrow H$  be a pseudo-natural transformation with  $H \in \text{SegPs}[\Delta^{n-1}{}^{op}, \text{Cat}]$  and  $L \in \text{Cat}^n$ , such that  $\phi_{\underline{k}}$  is an equivalence of categories for all  $\underline{k} \in \Delta^{n-1}{}^{op}$ . Then  $L \in \text{Cat}_{\text{wg}}^n$ .
- c) We show that given  $X \in \text{SegPs}[\Delta^{n-1}{}^{op}, \text{Cat}]$ , then  $St X \in \text{Cat}^n$  and there is a pseudo-natural transformation  $St X \rightarrow X$  satisfying the hypothesis of b). Therefore  $St X \in \text{Cat}_{\text{wg}}^n$ .

The proof of c) relies on some properties of the monad for pseudo-functors.