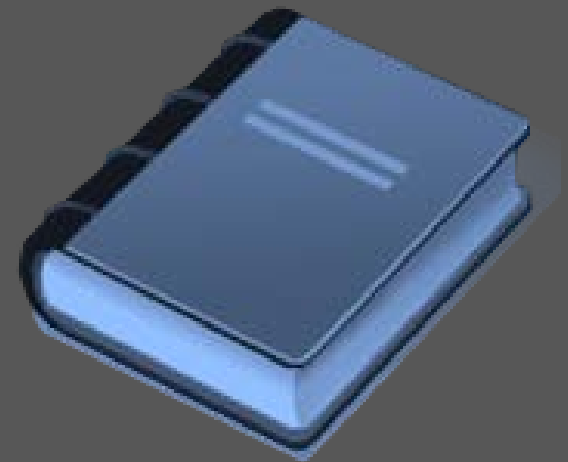


Leicester eTheses

Copyright, Open Access & Practical Issues for Supervisors

8th February 2011

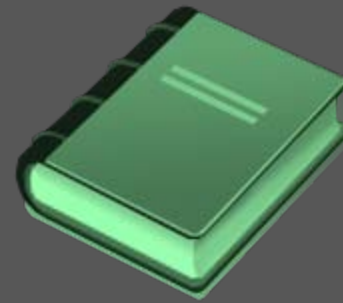
Gareth J Johnson
Document Supply &
Repository Manager
David Wilson Library
University of Leicester





Regulations at Leicester

- For students' final, corrected thesis:
 - Two print copies required to be submitted
 - To the Graduate School offices
 - Must include signed paperwork
 - One digital replica required to be submitted
 - To the institutional repository (LRA)
 - Most if not all UK HEIs now require this too
- Graduate School & Library web pages
 - Provide more information, documentation & guidance
 - Library student training via SDSS programme



Leicester eTheses Today

- eThesis is an electronic facsimile of the print
 - Close as allowed under copyright & other restrictions
 - Hosted on the institutional repository
 - Print is the “definitive version”
- Theses show up in monthly top 10 LRA items
 - The Leicester Research Archive (institutional research repository)
 - Leicester’s research output globally desirable resource
 - Readership 10 - 100 times greater than print
 - Evidence points to no impact on publishing

Benefits of Open Access eTheses

- Enhances accessibility & discoverability
 - Enhanced global readership prospects
 - Protects against plagiarism



- **Increases visibility**

- Enhances professional visibility & early career reputation
- Professional recognition for your scholastic contribution



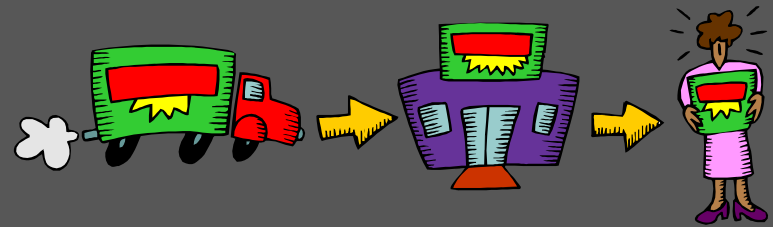
- **Increases citation & referencing**

- More visible work is more likely to be cited
- Citations crucial for professional advancement
- Permanent, stable URL link for life



What Happens to the eThesis?

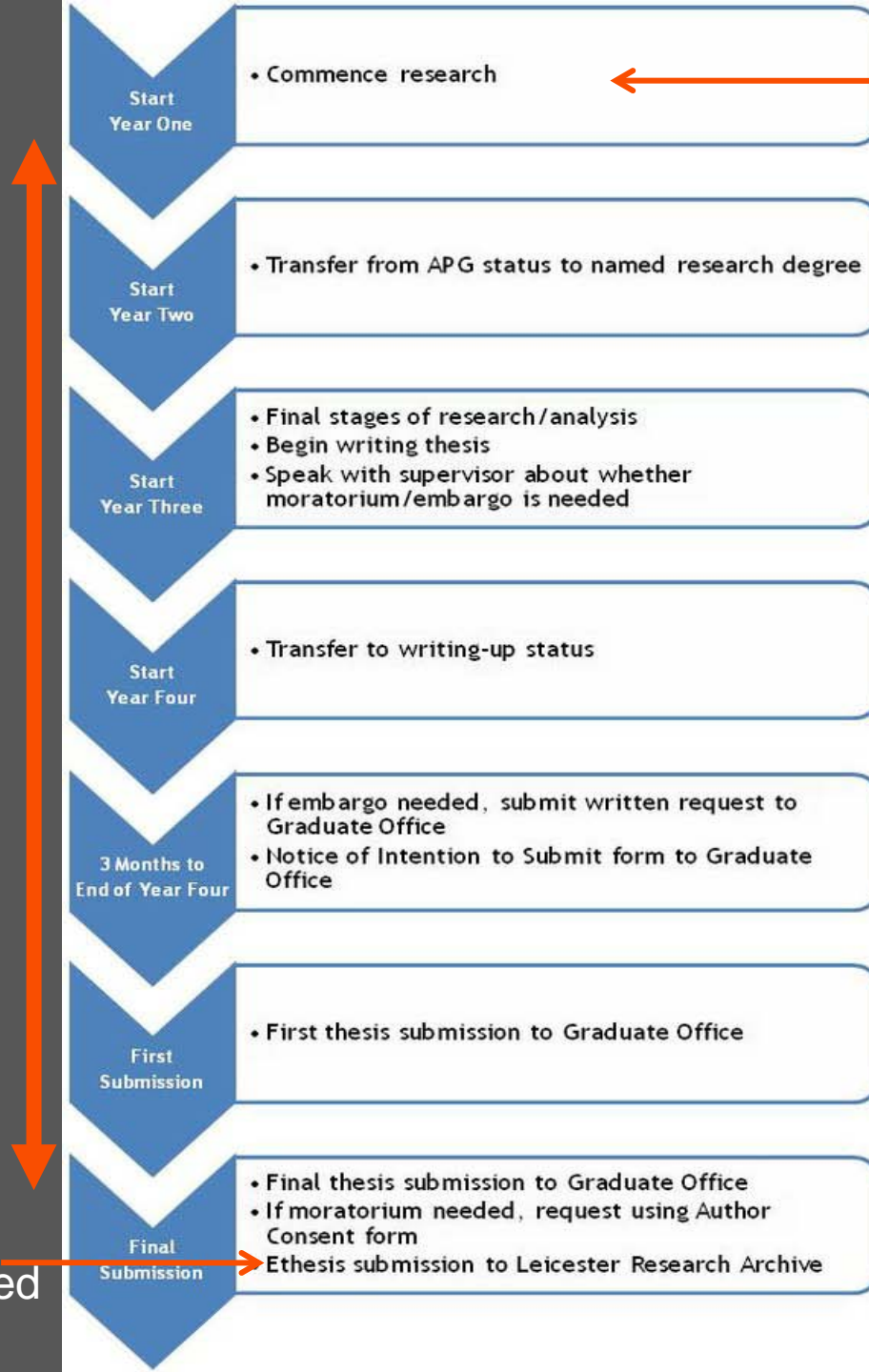
- It will go into
 - Leicester Research Archive & EThOS
- Scholars will find it via:
 - Specialist search tools (e.g. *OpenDOAR search*)
 - Index to Theses Online
 - Google (and other search engines)
 - Visitors to the LRA/EThOS or direct links
- Older theses also being added to both EThOS & the LRA





Copyright Issues

- UK legislation differs depending thesis format
 - Copyright, Designs & Patents act 1988 (amended)
- Print thesis
 - “*for purposes of examination*” exemption
 - No permission needed to use *less than a substantial* portion of third party material
 - Required to acknowledge source
- Digital thesis
 - Considered to be “*communicated to the public*”
 - Permission required to include third party material
 - Appreciation of copyright required
- Formal written/emailed audit trail required
 - Verbal assurance not sufficient



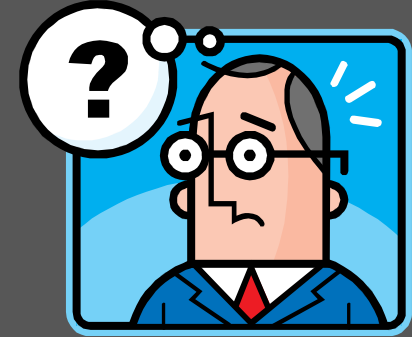
Start requesting permissions

The less time they leave...

The greater the....

PANIC!

Permissions all agreed & recorded



Other Issues

- Does their thesis contain sensitive information?
 - Commercial, ethical or otherwise confidential
 - Funder restrictions or conditions on sharing
- Planning to publish thesis elements
 - Concerns over restrictions
 - Permission to include an item in a thesis is *NOT* permission to include in another publication
- Might mean can't make the theses available electronically as is...



Delaying Online Availability

- Temporary Moratorium
 - Up to three year delay after date of PhD award
 - Delay allows for publication from thesis
 - Not added to LRA/ETHOS until expiry date
- Embargo
 - Three year rolling reviewed restriction
 - Only granted for ethical, commercial or security reasons
 - Apply to Senate via the Graduate School 3 months BEFORE submission
 - Requires supervisor co-signature & support
- Alternative -> edited version on LRA

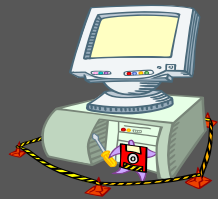


Practicalities

- Discuss eThesis issues with supervisor
 - LRA team & Copyright Administrator can advise
- At final submission students
 - Can request a moratorium
 - Can note an embargo if one has been approved
 - Has to be granted by Senate before submission
- Must provide the LRA team with an electronic (PDF) thesis copy in all cases

Support & Advice

- Graduate School for thesis submission, forms etc.
 - www.le.ac.uk/departments/gradschool/resources/thesis
- Library provides advice on archiving, copyright etc.
 - www.le.ac.uk/li/lra & www.le.ac.uk/copyright
 - Contact ethesis@le.ac.uk or copyright@le.ac.uk
- IT Services provides advice on creating PDFs
 - Contact ithelp@le.ac.uk
- Direct students to supervisors
 - To advise on suggested moratorium periods, embargos & publication





Questions



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2008/9
THE AWARDS
UNIVERSITY OF THE YEAR

2009/10
THE AWARDS
OUTSTANDING SUPPORT
FOR STUDENTS